

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: ABBOTT SPINE

Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment A

Entitled: See Attachment A

ABBOTT SPINE, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

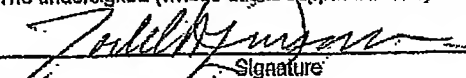
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

Todd Dawson

Printed or Typed Name

Vice President, Global Intellectual Property, Zimmer, Inc.  
signing on behalf of wholly owned subsidiary Abbott Spine

Title

11/24/08  
Date

(574) 372-4281  
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2.

# ATTACHMENT A

Application No.	Reel/Frame Inventor(s) to Spinal Concepts	Reel/Frame Spinal Concepts to Abbott Spine Inc.	SIPLG Docket No.
10/698,046	015188/0537	022136/0534	ZIMM1170-1
10/387,363	014403/0794	022136/0536	ZIMM1190-3
10/698,966	015102/0083	022136/0809	ZIMM1200-1
10/200,024	013449/0035	022201/0358	ZIMM1220-1
11/779,762	022060/0018	022083/0259	ZIMM1220-2
10/699,618	015183/0986	022136/0529	ZIMM1680-1
11/150,989	022059/0993	022083/0257	ZIMM1680-2
10/291,245	021875/0427	021884/0628	ZIMM1750-2
11/774,406	022059/0996	022083/0261	ZIMM1750-4

**ASSIGNMENT**

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to Spinal Concepts, Inc. (the "Assignee"), having a place of business at 5301 Riata Park Court, Bldg F, Austin, Texas 78727 the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 10/699,618 filed on October 31, 2003, and is entitled **"MOVABLE DISC IMPLANT"**, such application and all nonprovisional, divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) David J. Krueger  
Name: David J. Krueger

Date: 19 FEB 2004

BEFORE ME, the undersigned authority, on this 19th day of February, 2004, personally appeared David J. Krueger, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



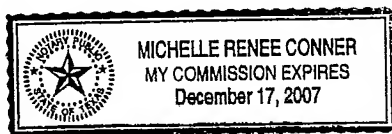
Michelle Conner  
Notary or Consular Officer

(Signature) Erik J. Wagner  
Name: Erik J. Wagner

Date: 2-19-04

BEFORE ME, the undersigned authority, on this 19 day of February, 2004, personally appeared Erik J. Wagner, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Michelle Conner  
Notary or Consular Officer

ERIC B. MEYERTONS  
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398



ABBOTT LABORATORIES

NOV 29 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 27, 2004

PTAS

MEYERTONS, HOOD, KIVLIN, KOWERT & ET AL  
ERIC B. MEYERTONS  
P.O. BOX 398  
AUSTIN, TX 78767-0398



\*102717657A\*

OCT 4 2004

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 04/05/2004

REEL/FRAME: 015183/0986

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KRUEGER, DAVID J.

DOC DATE: 02/19/2004

ASSIGNOR:

WAGNER, ERIK J.

DOC DATE: 02/19/2004

ASSIGNEE:

SPINAL CONCEPTS, INC.  
5301 RIATA PARK COURT, BLDG F  
AUSTIN, TEXAS 78727

SERIAL NUMBER: 10699618

FILING DATE: 10/31/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: MOVABLE DISC IMPLANT

Atty Dkt#: 5259-11301 Atty: \_\_\_\_\_

Transferred ☐ Due Date: \_\_\_\_\_

Action: 30 Day ☐ 1 Mo. ☐ 2 Mo. ☐

3 Mo. ☐ Final Action ☐ Advisory Action ☐

Ntc of Allow ☐ Drawings ☐ Issue Fee ☐

Other: \_\_\_\_\_

015183/0986 PAGE 2

MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



\*700396699A\*

JANUARY 22, 2009

PTAS

SPRINKLE IP LAW GROUP  
1301 W. 25TH STREET  
SUITE 408  
AUSTIN, TX 78705

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RECORDATION DATE: 01/20/2009

REEL/FRAME: 022136/0529  
NUMBER OF PAGES: 2

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: ZIMM1680-1

ASSIGNOR:

SPINAL CONCEPTS, INC.

DOC DATE: 04/20/2005

ASSIGNEE:

ABBOTT SPINE, INC.  
5301 RIATA PARK COURT, BLDG. F  
AUSTIN, TEXAS 78727

SERIAL NUMBER: 10699618

FILING DATE: 10/31/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: MOVABLE DISC IMPLANT

TO:SPRINKLE IP LAW GROU. COMPANY:1301 W. 25TH STREET

022136/0529 PAGE 2

SHAREILL COLES, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION